

## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patenas, Box PCT United States Patent and Trademark Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/009,429 Horst Trombach 02576

INTERNATIONAL APPLICATION NO.

PCT/EP00/03955

I.A. FILING DATE PRIORITY DATE

05/03/2000

05/04/1999

Michele J Young Salter & Michaelson 321 South Main Street Providence, RI 02903-7128

ع وربي

Date Mailed: 03/22/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement
- Substitute Specification

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions

of 37 CFR 1.136(a).

## **SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/009,429	PCT/EP00/03955	02576

FORM PCT/DO/EO/905 (371 Formalities Notice)





## Practitioner's Docket No. 02576

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Trombach, Horst et al.

Application No.: 10/009,429 Filed: November 5, 2001

Group No.: To be assigned Examiner: To be assigned

For: SEALING DISC AND FILM COMPOSITE FOR A CLOSURE OF A CONTAINER

**Box Missing Part Assistant Commissioner for Patents** Washington, D.C. 20231

# COMPLETION OF FILING REQUIREMENTS -- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed March 22, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

## **DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## SMALL ENTITY STATUS

III. Applicant claims small entity status.

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

#### **MAILING**

deposited with the United States Postal Service "Express Mail Post Office to Addressee" Express Mail label number EV 073794010 US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: May 22, 2002

 $\mathbf{X}$ 

transmitted by facsimile to the Patent and Trademark Office at (703)

[]

Marie LoPresti

(type or print name of person certifying)

(Completion of Filing Requirements--Nonprovisional Application--page 1 of 3)

In re application of: Trombach, Horst et al.

**Application No.: 10/009,429** Art Unit: To be assigned

## **ASSIGNMENT**

IV. Enclosed herewith is an assignment from the Inventors to Alfelder Kunststoffwerke Herm. Meyer GmbH.

## **ENGLISH TRANSLATION OF IPER**

V. Enclosed herewith is a copy of the English translation of the IPER.

## **COMPLETION FEES**

VI.

1. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath

(37 C.F.R. Section 1.16(e))

\$65.00

2. Assignment (See "ASSIGNMENT

COVER SHEET")

\$40.00

**Total Completion Fees** 

\$105.00

## **EXTENSION OF TIME**

VII. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for

05/28/2002 LLANDGRA 00000039 10009429

01 FC:254

65.00 OP

In re application of: Trombach, Horst et al.

Application No.: 10/009,429
Art Unit: To be assigned

extension of time.

## TOTAL FEE DUE

VIII. The total fee due is:

Completion fees
Extension fee (if any)

\$105.00 \$0.00

Total Fee Due

\$105.00

## **PAYMENT OF FEES**

IX. Enclosed is a check in the amount of 105.00.

Please charge Account No. 19-0120 for any fees that may be due by this paper.

## **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

X. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-0120.

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

Date: May 22, 2002

Michgle J. Young

Registration No. 43,299

Salter & Michaelson

321 South Main Street

Providence, RI 02903-7128

US

Tel: 401-421-3141

Fax: 401-861-1953

Customer No. 000987

Practitioner's Docket No. 02576

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Trombach, Horst et al.

Application No.: 10/009,429

Group No.: To be assigned

Filed: 11/05/2001

Examiner: To be assigned

For: SEALING DISC AND FILM COMPOSITE FOR A CLOSURE OF A CONTAINER

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV 073794010 US Date of Deposit 05/22/2002

I hereby state that the following attached paper or fee

Completion of Filing Requirements (3 pgs.);

Copy of Notification of Missing Requirements (2 pgs.);

Executed Declaration and Power of Attorney (3 pgs.);

Assignment Recordal Sheet (1 pg.);

Assignment to Alfelder Kunststoffwerke Herm. Meyer GmbH (2 pgs.);

English Translation of IPER (6 pgs.);

Check no. 26017 for \$105.00; and

Acknowledgment Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marie LoPresti

Signature of person mailing paper or fee